

 <div style="text-align: center;"> <b>Financial Assistance Award</b>  <b>DENALI COMMISSION</b>  <b>510 L Street, Suite 410</b>  <b>Anchorage, Alaska 99501</b>  <b>(907) 271-1414 (phone)</b>  <b>(907) 271-1415 (fax)</b>  <b>www.denali.gov</b> </div>		<b>Award Number</b>	01488-00		
		<b>Award Title</b>	Environmentally Threatened Community Initiative		
		<b>Performance Period</b>	May 1, 2016 through September 30, 2017		
<b>Authority</b> 112 Stat 1854	<b>CFDA Number</b> 90.100	<b>Recipient Organization &amp; Address</b> Alaska Native Tribal Health Consortium 4000 Ambassador Dr Anchorage, AK 99508-5909			
<b>Denali Commission Finance Officer Certification</b>		<b>Phone:</b> 907-729-2923 <b>Recipient DUNS #</b> 071375658 <b>TIN #</b> 920162721			
<b>Cost Share Distribution Table</b>					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$260,100.00		\$0.00		\$260,100.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
Alaska Native Tribal Health Co		\$86,700.00		\$0.00	\$86,700.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
<b>Total</b>	<b>\$260,100.00</b>	<b>\$86,700.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$346,800.00</b>
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed		<b>Typed Name and Title</b> Mr. Joel Neimeyer Federal Co-Chair		<b>Date</b> 05/04/2016	

# AWARD ATTACHMENTS

Alaska Native Tribal Health Consortium

01488-00

1. Award Conditions
2. Attachment A

**Financial Assistance Award Terms and Conditions  
Between the Denali Commission and Alaska Native Tribal Health Consortium  
For Environmentally Threatened Communities Program Support  
Award No. 1488**

**1. Project Summary**

- a. Scope of Work: Support the Denali Commission's Environmentally Threatened Communities (ETC) Program by co-locating ANTHC resources at the Denali Commission, and providing other specific ancillary services from time to time as may be identified via amendments to this FAA.
- b. Deliverables: Support the implementation of the Denali Commission's ETC annual work plan.
- c. Budget: The Commission is making \$260,100 available to ANTHC for 17 months of ETC Program support via this Financial Assistance Award (FAA). This amount includes all direct and indirect costs (if any). ANTHC is providing \$86,700 of their own funds for support of the ETC Program during this period. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission consistent with 2 CFR 200.319.
- d. Delivery Method: ANTHC will provide the referenced support with existing in-house staff who will work out of the Commission's office at 510 L Street in Anchorage.
- e. Performance Period The Period of Performance for this FAA is 1 May 2016 through 30 September 2017. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at [www.denali.gov](http://www.denali.gov).

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, and schedule are included in Attachment A dated 29 April 2016.

**2. Project Reporting and Commission Site Visits**

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions.

The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at [www.denali.gov/dcpdb](http://www.denali.gov/dcpdb).

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

### **3. Payments**

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

### **4. Modifications**

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions to the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

### **5. Financial Management, Record Keeping, Internal Controls, and Audits**

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

## **6. Direct and Indirect Costs**

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

## **7. Sub-Awards and Contracts**

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **8. Acknowledgement of Support**

The Recipient shall display a sign that acknowledges the Government's support for construction projects funded in whole or in part under this FAA. The Recipient shall name the Denali Commission as a financial contributor and project/program partner in all media correspondence related to all work supported by this award. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **9. Real and Personal Property**

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

## **10. Conflict of Interest**

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **11. Denali Commission Policies**

Recipients may be required to comply with certain published Denali Commission policies. Any such requirements under this FAA will be stipulated in the Special

Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information on individual policies.

## **12. Laws and Regulations**

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

## **13. Other Provisions**

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

#### **14. Special Provisions**

Progress Reports: Annual

Pre-award Costs: Authorized for ancillary services provided on or after 19 April 2016.

Key Staff: Captain Donald Antrobus, PE

Advance Payments: One lump sum of \$76,500 for FY2016 and one lump sum of \$183,600 for FY2017.

Federal Property Interests and Reporting Requirements: n/a

Denali Commission Policies: n/a

Bonds and Insurance: n/a

#### **15. Program Manager, Financial Manager & Other Contact Information**

<b>Denali Commission</b>	<b>ANTHC</b>
Jay Farmwald, PE Director of Programs 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: jfarmwald@denali.gov	Steve Weaver, PE Director, DEHE 4500 Diplomacy Drive Anchorage, AK 99508 Phone: 907-729-3717 Fax: 907-271-4735 Email: sweaver@anthc.org
Corrine Eilo Chief Financial Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: ceilo@denali.gov	Heather Hanak Dongoski Grant Specialist 4500 Diplomacy Drive Anchorage, AK 99508 Phone: 907-729-3049 Fax: 907- 729-3590 Email: hdongoski@anthc.org

**Attachment A**  
**to**  
**Financial Assistance Award 1488**  
**Between the Denali Commission and ANTHC**  
**For Environmentally Threatened Communities Program Support**

Scope of Work

As part of the ANTHC Compact and Annual Funding Agreement with the Indian Health Service, ANTHC has established a Climate Change Adaptation Program in response to the warming temperatures and resulting coastal/riverine erosion, flooding and permafrost degradation across Alaska that has so dramatically impacted Alaska Native Communities. A key component of the ANTHC program is an Environmentally Threatened Communities (ETC) Initiative that mirrors the program being developed by the Denali Commission. In order to leverage available resources, the Commission and ANTHC will co-locate their ETC programs in the Commission's Office, where the Commission will assume day to day oversight of the joint effort.

ANTHC staff shall work with the Commission's Federal Co-chair, Director of Programs, Commissioners, other Federal Agencies, State of Alaska, and other stakeholders to develop and implement the ETC Program. This includes developing ETC priorities, initiatives, budgets, and implementation strategies. The senior ANTHC Program Manager assigned to the Commission will direct and administer the ETC Program by issuing grants, cooperative agreements, reimbursable agreements and/or contracts. The ANTHC Program Manager will supervise other Commission staff that may be made available to assist with the ETC Program.

ANTHC will also provide other specific ancillary services from time to time as may be identified via amendments to this FAA.

Deliverables

Support the implementation of the Denali Commission's ETC annual work plan.

Budget

The Commission is making \$260,100 available to ANTHC for 17 months of ETC Program support via this Financial Assistance Award (FAA). ANTHC is providing \$86,700 of their own funds for support of the ETC Program during this period. A 17 month budget is summarized below.

<i>line</i>	<b>Item</b>	<b>Budget Amount</b>	<b>Commission</b>	<b>ANTHC</b>
1	FY2016 Staff Direct Costs	\$81,146	\$60,860	\$20,286
2	FY2017 Staff Direct Costs	\$194,749	\$146,062	\$48,688
3	Total Indirect Costs	\$70,905	\$53,178	\$17,726
4	<b>Total</b>	<b>\$346,800</b>	<b>\$260,100</b>	<b>\$86,700</b>



Indirect costs are based on a provisional rate of 25.7% approved by the US Department of Health and Human Services on 29 September 2015. ANTHC will be responsible for the payment of salaries directly to ANTHC staff assigned to the Commission location pursuant to this Award, minus authorized and required withholdings. ANTHC will also be responsible for employee benefits, and required employer taxes and contributions, including worker's compensation insurance.

The Commission will provide all other funding for program labor, materials, equipment, office space, travel/per diem and other program related activities. The Commission will arrange for and pay all related travel costs, including per diem, in accordance with Commission policies and procedures. The Commission will be directly responsible for training costs and office-related incidental expenses.

All Commission contributed costs for staff are payable by fiscal year in advance. ANTHC will submit a payment request to the Commission. The payment request will be based on ANTHC's good faith estimate of anticipated costs. The Commission will pay the requested amount no later than 45 days after submittal. At the end of each fiscal year covered by this Award, ANTHC will provide the Commission with a summary of advance payments made and actual costs incurred in the fiscal year. In the event ANTHC expenses exceed payments, the Commission will reimburse ANTHC for that excess expenditure, subject to the availability of funds. Should the Commission's payment exceed actual expenses, ANTHC will promptly return unexpended funds to the Commission.

#### Delivery Method

This FAA is a Cooperative Agreement between the Commission and ANTHC. The Commission will provide office space and day-to-day supervision and oversight of ANTHC staff working at the Commission location and, except for policies related to paid time off and employee benefits, ANTHC staff will adhere to and abide by the provisions of the Commission's Personnel Policy and Procedures Manual, provided, however, that ANTHC staff who are detailed members of the Commissioned Corps of the Public Health Service (PHS) are subject to the governing standards of conduct of the Department of Health and Human Services and the PHS will be responsible for any disciplinary or adverse action that may be appropriate. In the event of a conflict between the Commission's rules and the rules of the Division of Commissioned Personnel and Readiness (DCCPR), the rules of the DCCPR will prevail.

While working at the Commission location, ANTHC staff duties will include reporting their activities to ANTHC not less than quarterly to ensure appropriate coordination/alignment of program effort between the Commission and ANTHC. Their duties will also include providing such ANTHC personnel reports and participation in ANTHC personnel actions/training as required by ANTHC during

the period of their assignment, to include providing payroll hours tracking reports each pay period. For the term of this FAA, the designated ANTHC coordinator for ANTHC staff working at the Commission location will be the Mr. Steve Weaver.

The following statutes apply to this FAA; Federal Tort Claims Act, other federal tort liability statutes pursuant to the Intergovernmental Personnel Act (5 U.S.C. § 3374(c)(2)), section 314 of the Indian Self-Determination and Education Assistance Act (Public Law 101-512 (ISDEAA)), and the Indian Health Care Improvement Act. Nothing in this FAA will be construed to alter, diminish, or affect any liability protections provided under applicable statutes.

The Commission or ANTHC may terminate this Award provided they give a minimum 90-day written notice to the other party, except that ANTHC may terminate the Award immediately upon the termination or permanent unavailability of any ANTHC employee that is designated as key personnel. The parties understand that ANTHC is an at-will employer, and ANTHC therefore reserves the right to terminate its employment relationship with ANTHC employees at any time.

Upon expiration or termination of this Award, ANTHC may assign ANTHC staff working at the Commission location to their previous position at ANTHC, may reassign them to other duties at ANTHC or elsewhere, or may terminate their employment, all based on need and availability of resources in a manner consistent with ANTHC's policies and procedures.

#### Schedule

The initial performance period for this FAA is 1 May 2016 through 30 September 2017. The Performance period may be increased or decreased by amendment.